

1AP13 Rec'd PCT/PTO 36 DEC 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313  
on December 20, 2006

Date of Deposit

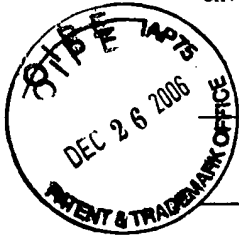
Tadashi Horie Reg. No. 40,437

Name of applicant, assignee or  
Registered Representative

Signature

December 20, 2006

Date of Signature



Case No. 9683/235

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

DAI KAMIYA et al.

Serial No.: 10/529,925

Filed: March 30, 2005

For: COMMUNICATION SYSTEM,  
RELAY DEVICE, AND  
COMMUNICATION CONTROL  
METHOD

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.: Mail Stop Missing Parts

Dear Sir:

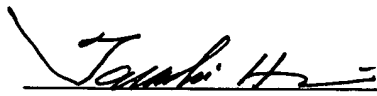
In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated October 26, 2006, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Combined Declaration and Power of Attorney for the above-referenced patent application.
- ☒ Applicant:
- ☐ claims small entity status. See 37 CFR1.27.
- ☒ is other than small entity.
- ☒ Checks totaling \$130 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☐ Search Fee of \$\_\_\_\_.
- ☐ Examination Fee of \$\_\_\_\_.
- ☐ Application Size Fee (for each additional 50 sheets that exceeds 100 sheets, including specification and drawings) of \$\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment Recordal, Assignment, Check for \$40.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

12/29/2006 GFREY1 00000095 231925 10529925  
01 FC:8021 40.00 OP

Respectfully submitted,

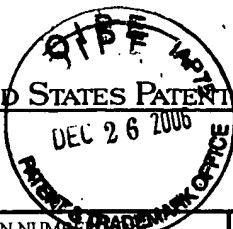
Dated: December 20, 2006

  
Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200



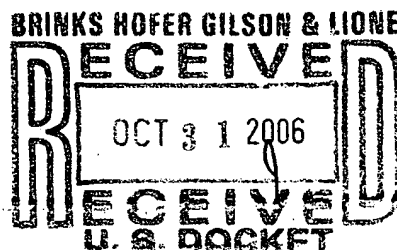
UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/529,925	Dai Kamiya	9683/235

Brinks Hofer Gilson & Lione  
 P O Box 10395  
 Chicago, IL 60610



INTERNATIONAL APPLICATION NO.	
PCT/JP03/12508	
I.A. FILING DATE	PRIORITY DATE
09/30/2003	09/30/2002

CONFIRMATION NO. 5274  
 371 FORMALITIES LETTER



\*OC000000020976577\*

Date Mailed: 10/26/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/30/2005
- English Translation of the IA filed on 03/30/2005
- Copy of the International Search Report filed on 03/30/2005
- Information Disclosure Statements filed on 03/30/2005
- Oath or Declaration filed on 03/30/2005
- Request for Immediate Examination filed on 03/30/2005
- U.S. Basic National Fees filed on 03/30/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,925	PCT/JP03/12508	9683/235

FORM PCT/DO/EO/905 (371 Formalities Notice)

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 20, 2006 Name: Tadashi Horie, Reg. No. 40,437 Signature: 

**BRINKS  
HOFFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: **DAI KAMIYA et al.**

Appln. No. **10/529,925**

Filed: **March 30, 2005**

For: **COMMUNICATION SYSTEM, RELAY DEVICE,  
AND COMMUNICATION CONTROL METHOD**

Attorney Docket No. **9683/235**

Examiner: To Be Assigned

Art Unit: To Be Assigned

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal (in duplicate); Response to Notice to File Missing Parts (in duplicate); Copy of Notification of Missing Requirements; Declaration and Power of Attorney for Patent Application (4 Pages – executed); Assignment Recordation Form; Assignment (executed); Check for \$130; Check for \$40
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus				x \$25=			x \$50=	
Indep.		Minus				x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+ \$360=	
						Total	\$		Total	\$

**Fee payment:**

- ☒ 2 checks in the amount of \$130 and \$40 are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.


12/29/2006 GFREY1 00000095 231925 10529925

Respectfully submitted,

02 FC:1617 130.00 DA

December 20, 2006  
Date

**The PTO did not receive the following  
listed item(s) \$40. only**

  
Tadashi Horie (Reg. No. 40,437)

**BRINKS  
HOFFER  
GILSON  
& LIONE**

BRINKS HOFFER GILSON & LIONE  
NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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